Received by NSD/FARA Registration Unit 07/23/2020 12:14:43 PM OMB No. 1124-0002; Expires June 30, 2023

U.S. Department of Justice

Washington, DC 20530

# **Supplemental Statement**

Pursuant to the Foreign Agents Registration Act of 1938, as amended

		For 6 Month Pe	riod Ending 6/3	0/2020 (Insert date)
		I	- REGISTRA	
1. (	(a) Name of Registrant		(b) Registra	ation Number
	Hathaway Strategies		6761	
(	(c) Primary Business Address 303 N Alabama St. Suite 390 Indianapolis, IN 46204			
	Ias there been a change in the infor	mation previously	furnished in con	nection with the following?
	(a) If an individual:	Vac 🗆	No 🖂	
	<ul><li>(1) Residence address(es)</li><li>(2) Citizenship</li></ul>	Yes □ Yes □	No □ No □	
	(3) Occupation	Yes □	No □	
		103	ПО	
	<ul><li>(b) If an organization:</li><li>(1) Name</li></ul>	Yes □	No ⊠	
	(2) Ownership or control	Yes □	No ⊠	
	(3) Branch offices	Yes □	No ⊠	
	(c) Explain fully all changes, if an	y, indicated in Item	as (a) and (b) abo	ove.
		Exhibit C <sup>1</sup> , state wh		ESPONSES TO ITEMS 3, 4, 5, AND 6. es therein have occurred during this 6 month reporting
	f yes, has the registrant filed an upof f no, please file the updated Exhibi		Yes 🗆	No 🗆

<sup>1</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

If yes, furnish the	No ⊠  Collowing information:			
Name	Residence Address	Citizenship	Position	Date Assumed
Have any persons c	eased acting as partners, officers, dir	ectors or similar officials of	the registrant during t	his 6 month reporting <sub>l</sub>
Yes 🗆				
Name	ollowing information: Positio	n		Date Ceased
	ned in Item 4(a) rendered services di	rectly in furtherance of the in	nterests of any foreign	principal?
Yes ☐ If yes, identify each	] No ⊠  n such person and describe the service	e rendered.		
Name	Foreign Principal(s			
or will render service secretarial, or in a re	reporting period, has the registrant ses to the registrant directly in furthe elated or similar capacity? Yes	rance of the interests of any  No 🗵	foreign principal(s) in	other than a clerical or
Name	Residence Address	Citizenship	Position	Date Assumed
) Have any employee	es or individuals, who have filed a sh	ort form registration stateme	ent terminated their er	mployment or
	registrant during this 6 month repor		No ⊠	
If yes, furnish the fo	ollowing information:			
Name	Position or C	onnection	Ι	Date Terminated
principal during thi	s or individuals, who have filed a sh s 6 month reporting period? Yes		ent, terminated their co	onnection with any fore
If yes, furnish the fo	ollowing information:			
Name	Position or Connection	Foreign Principal	I	Date Terminated
	ration statements been previously fil		ed in Items 5(a) and 5	(b) of the supplementa
atement or submitted		No 🗆		
no, list names of per	sons who have not previously filed t	he required statement or are	not submitting a short	t form with this filing.

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# II - FOREIGN PRINCIPAL

7.	Has the registrant's connection with any foreign principal(s) ended during this 6 month reporting period?  Yes □ No ☒						
	If yes, furnish the fol	llowing information:					
	Foreign Principal			1	Date of Termination		
8.	Yes	ded any new foreign pri No ⊠	ncipal(s) <sup>2</sup> during t	his 6 month reportin	ng period?		
	If yes, furnish the fol	llowing information:					
	Foreign Principal				Date Added		
9.	In addition to those r during the 6 month r		if any, list the fore	ign principal(s) who	m the registrant continued to represe	ent	
	Royal Embassy of	Saudi Arabia through	Larson Shannah	an Slifka Group,	LLC		
10	Exhibit A <sup>3</sup> Exhibit B <sup>4</sup>	nt filed Exhibits A and E Yes ☐ Yes ☐ the required exhibit.	B for the newly add No □ No □	led foreign principal N/A ⊠ N/A ⊠	(s), if any, listed in Item 8?		
	represented duri If yes, has the re	any changes in the Exh ng this 6 month period? gistrant filed an amenda the required amendmen	nent to these exhil	Yes □	foreign principal(s) whom the registr No ⊠ No □	ant	

<sup>2</sup> The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

<sup>3</sup> The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

<sup>4</sup> The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

# **III - ACTIVITIES**

11.	During this 6 month reporting period, has the registrant engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes \Boxed No \Boxed					
	If yes, identify each foreign principal and description	ribe in full deta	ail all activities and s	ervices:		
	Foreign Principal	Activities/S	Services			
	Royal Embassy of Saudi Arabia through Larson Shannahan Slifka Group, LLC			gard to fostering and ped States and the Kingd		
		<u> </u>			<u> </u>	
12.	During this 6 month reporting period, has the r as defined below? Yes ⊠	egistrant, on be	ehalf of any foreign	principal, engaged in politic	cal activity <sup>5</sup>	
	If yes, identify each foreign principal and describe relations, interests and policies sought to be arranged, sponsored or delivered speeches, lect places of delivery, names of speakers and subjection by promotion, perception management, informational materials.	e influenced an tures, social me ect matter. The	d the means employ edia, internet posting response must inclu	ed to achieve this purpose. s, or media broadcasts, giv de, but not be limited to, a	If the registrant re details as to dates, ctivities involving	
	Set forth below a general description of the registrant's political activities.  See supplemental activity report					
	Set forth below in the required detail the regist	trant's political	activities.			
		Contact	Method	Purpose		
13.	In addition to the above described activities, if behalf which benefits the registrant's foreign p		gistrant engaged in a Yes □	activity or rendered any ser No ⊠	vices on its own	
	If yes, describe fully.					
	Foreign Principal	Α	ctivities/Services			

<sup>5 &</sup>quot;Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

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# IV - FINANCIAL INFORMATION

14. (a)	RECEIPTS-MONIES  During this 6 month reporting period, has the registrant received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise?  Yes  No  No							
	If no, please explain why no	monies were received.						
	If yes, set forth below in the	required detail and sepa	rately for each for	eign principal an acc	count of such m	onies. <sup>6</sup>		
	Foreign Principal Date	e Received From Who	m Purpo	ose	Amount	Subtotal		
				ttached payments ved report.				
					\$	43,000		
					-	Total		
(b)	RECEIPTS - FUNDRAISI During this 6 month reporting	NG CAMPAIGN ng period, has the registr	rant received, as pa	art of a fundraising c	campaign <sup>7</sup> , any	money on behalf		
	of any foreign principal nam	ed in Items 7, 8, or 9 of	this statement?	Yes □	No ⊠			
	If yes, has the registrant prev	viously filed an Exhibit I	O <sup>8</sup> to its registration	on? Yes □	No □			
	If yes, indicate the date th	ne Exhibit D was filed.	Date					
	If no, please file the requ	ired Exhibit D.						
(c)	RECEIPTS-THINGS OF V During this 6 month reporting foreign principal named in It foreign principal? Yes	g period, has the registratems 7, 8, or 9 of this sta						
	If yes, furnish the following	information:						
	Foreign Principal	Date Received	From Whom	Purpose	Thing of	Value		

<sup>6, 7</sup> A registrant is required to file an Exhibit D if he/she collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

<sup>8</sup> An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

<sup>9</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

(PAGE 6	PAGE	6
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15. (a)	<b>DISBURSEMENTS-MONIES</b> During this 6 month reporting period, has the registrant disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement or transmitted monies to any such foreign							
			No 🗆		•	C		
	If no, explain why no	o disbursements w	vere made.					
	If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.							
	Foreign Principal	Date	Recipient	Purpose	Amount	Subtotal		
	Royal Embassy of Saudi Arabia	6/18/2020	Zoom Video	Video teleconference	\$31.67	\$31.67		

\$31.67

Total

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(DISBURSEMENTS-THINGS OF VALUE

During this 6 month reporting period, has the registrant disposed of anything of value of other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

					named in Items 7, 8, or 9	of this statement?
	If yes, furnish	the following	information:			
	Foreign Princi	ipal	Date	Recipient	Purpose	Thing of Value
(c)	During this 6 r behalf either d	month reporting irectly or through to any polition.	ugh any other per cal office, or in c	registrant (or any short son, made any contribu	itions of money or other	s own funds and on its own things of value 11 in connection n, or caucus held to select
		Yes 🗵	No □			
	If yes, furnish	the following	information:			
	Date	Donor	Political O	rganization/Candidate	Method	Amount/Thing of Value
			See suppl contribut	emental ion report		

<sup>10, 11</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

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# V-INFORMATIONAL MATERIALS

16. (a)	During this 6 month rep	orting period, has t	he registrant	t prepared, disseminated or ca	used to be disseminated any
	informational materials	? <sup>12</sup> Yes ⊠	No		
(b)	If yes, identify each sucremaining items in this		for which in	nformational materials were d	isseminated and respond to the
Ro	yal Embassy of Saudi	Arabia			
	ring this 6 month reporting strant's activities in prep				noney allocated to finance the s □ No □
_				period of time and the amount	
	reign Principal			Period of Time	Amount
18. Du	ring this 6 month reporting	ng period, has the re	gistrant's ac	tivities in preparing, dissemin	ating or causing the dissemination of
inf	formational materials incl	uded the use of any	of the follow	wing:	
	gazine or newspaper			☑ Press releases	☑ Pamphlets or other publications
	tures or speeches	☑ Radio or TV br	oadcasts	☐ Motion picture films	☐ Letters or telegrams
⊠ Em:					
□ we	bsite URL(s):	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \			
	uring this 6 month reporting terials among any of the		gistrant prep	pared, disseminated, or caused	d to be disseminated informational
[	☐ Public officials		⊠ Newspap	pers	☐ Libraries
I	☐ Legislators		☐ Editors		⊠ Educational institutions
[	☑ Government agencies		⊠ Civic gro	oups or associations	☑ Nationality groups
[	☐ Other (specify)				
20. Inc	dicate the language used i	n the informational	materials:		
	⊠ English			(specify)	
	•			ent of Justice a copy of each it this 6 month reporting period	em of such informational materials  ? Yes ⊠ No □
If	no, please please file the r	equired information	nal materials		
22. Ha	as the registrant labeled ea	nch item of such inf	ormational n	naterials with the statement re	equired by Section 4(b) of the Act?
	Yes ⊠ No □				

<sup>12</sup> The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

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#### VI - EXECUTION

In accordance with 28 U.S.C. § 1746, and subject to the penalties of 18 U.S.C. § 1001 and 22 U.S.C. § 618, the undersigned swears or affirms under penalty of perjury that he/she has read the information set forth in this statement filed pursuant to the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 et seq., that he/she is familiar with the contents thereof, and that such contents are in their entirety true and accurate to the best of his/her knowledge and belief.

Date	Printed Name	Signature <sup>13</sup>	
July 23, 2020	Anne F. Hathaway	/s/ Anne F. Hathaway	eSigned
	_		

<sup>13</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

12. Supplemental Activity Reports

Hathaway Strategies, LLC

#### **Question 12**

During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity as defined below?

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Foreign Principal: Royal Embassy of Saudi Arabia
Hathaway Strategies, LLC during this 6-month reporting period, informed the public, government officials and the media about the importance of fostering and promoting strong relations between the United States and the Kingdom of Saudi Arabia.

Specific outreach, on behalf of the Royal Embassy of Saudi Arabia below.

Date Matter Discussed		Contact Person Title		Type of Contact	
01.07.2020	See above.	Martin Baier	President and CEO, The International Center	In Person	
01.08.2020	See above.	Trevor Foughty	Associate Vice President for State Relations, Indiana University	In Person	
01.14.2020	See above.	Caryl Auslander	One Zone Chamber of Commerce	In Person	
01.16.2020	See above.	Roger Howard	International Trade Coordinator, Indiana Economic Development Corporation		
01.17.2020	See above.	Doris Ann Sadler	World Trade Center of		
01.21.2020	Public Affairs Director, Indiana Com Marketing Council and Indiana Soybean Alliance		In Person		
02.06.2020	Director of Global Ethanol Market Development, U.S. Grains Council		In Person		
02.06.2020	See above.	Helena Jette	Indiana Com Marketing Council	In Person	

02.10.2020	See above.	Ashley Eason	The International Center	Email
02.10.2020	See above.	John Clark	The Center for Interfaith Cooperation	Email
02.11.2020	See above.	Anya McConnell	Franklin Chamber of Commerce	Call
02.13.2020	See above.	Ashley Eason	The International Center	In Persor
02.14.2020	See above.	John Clark	The Center for Interfaith Cooperation	Email
02.21.2020	See above.	Greg Zoeller	Former Attorney General, State of Indiana	In Persor
02.18.2020	See above.	Keira Amstutz	Program Committee Chair, Indiana Economic Club	In Persor
02.29,2020	See above	Holli Sullivan	State Representative	In Persor
02.29.2020	See above	Rudy Yakym	Director of Growth Initiatives, Kem Krest	Email
02.26.2020	See above	Lane Carr	Defense Industry Consultant	In Persor
03.04.2020	See above	Matt Light	Deputy Mayor, City of Noblesville	In Persor
03.05.2020	See above	Abdul Hakim-Shabazz	Reporter & Political Commentator	Email
03.10.2020	See above	Greg Zoeller	Former Attorney General, State of Indiana	in Persor
03.12.2020 And 03.13.2020	See above	Abdul Hakim-Shabazz	Reporter & Political Commentator	Email
03.12.2020	See above	Doris Ann Sadler	Indiana World Trade Center	In Person
03.18.2020	See above	Julie Griffith	Indiana Innovation Institute	In Person

04.13.2020	See above	Newsroom *	Associated Press Indy	Press Release in Email
04.13.2020	See above	Newsroom	Grow Indiana	Press Release in Email
04.13.2020	See above	Newsroom	Herald Bulletin	Press Release in Email
04.13.2020	See above	Newsroom	Northwest Indiana Times	Email
04.13.2020	See above	Newsroom	Elkhart Truth	Email
04.13.2020	See above	Newsroom	Courier Press	Email
04.13.2020	See above	Newsroom	Journal Gazette	Email
04.13.2020	See above	Newsroom	Indiana Business Journal	Email
04.13.2020	See above	Newsroom	Indy Star	Email
04.13.2020	See above	Newsroom	South Bend Tribune	Email
04.13.2020	See above	Newsroom	Indiana University	Email
04.13.2020	See above	Newsroom	Tristate Homepage	Email
04.13.2020	See above	Newsroom	WEVV	Email
04.13.2020	See above	Newsroom	WTHR	Email
04.13.2020	See above	Newsroom	WANE	Email
04.13.2020	See above	Newsroom	WFFT	Email

<sup>\*</sup> The designation "Newsroom" refers to a mass emailing to news organizations.

04,13.2020	See above	Newsroom	WPTA21	Email
04.13.2020	See above	Newsroom	WIDTV	Email
04.13.2020	See above	Newsroom	WRTV	Email
04.13.2020	See above	Newsroom	WTHR	Email
04.13.2020	See above	Newsroom	CBS4	Email
04.13.2020	See above	Newsroom	FOX59	Email
04.13.2020	See above	Newsroom	WLFI	Email
04.13.2020	See above	Newsroom	ABC57	Email
04.13.2020	See above	Newsroom	WNDU	Email
04.13.2020	See above	Newsroom	wowo	Email
04.13.2020	See above	Newsroom	WIBC	Email
04.13.2020	See above	Abdul Hakim-Shabazz	Reporter & Political Commentator	Email
04.13.2020	See above	Anne Hathaway	President, Hathaway Strategies	Email
04.09.2020	See above	Jim Meyer	Anderson Herald Bulletin	Email
04.09.2020	See above	Kerry Erickson	NW Indiana Times	Email
04.09.2020	See above	Newsroom	Fort Wayne Journal Gazette	Email

04.29.2020	See above	Larry Cimino	Indiana Council on World Affairs	Email	
04.29.2020	See above	Ashley Eason	The International Center	Email	
05.06.2020	See above	Larry Cimino	Indiana Council on World Affairs	Email	
05.06.2020	See above	Ashley Eason	The International Center	Email	
05.11.2020	See above	Ashley Eason	The International Center	2 Emails	
05.13.2020	See Above	Larry Cimino	Indiana Council on World Affairs	Call	
05.13.2020	See Above	Larry Cimino	Indiana Council on World Affairs	Email	
05.19.2020	See Above	Larry Cimino	Indiana Council on World Affairs	4 Emails	
05.21.2020	See Above	Larry Cimino	Indiana Council on World Affairs	Email	
06.03.2020	See Above	Larry Cimino	Indiana Council on World Affairs	Email	
06.04.2020	See above	Larry Cimino	Indiana Council on World Affairs	Email	
06.04.2020	See above	Ashley Eason	The International Center	Email	
06.04.2020	See above	Mariam Nasraddin	Student, Indiana Tech	Twitter	
06.05.2020	See Above	Larry Cimino	Indiana Council on World Affairs	Email	
06.05.2020	See above	Luke Bosso	Chief of Staff, Indiana Economic Corporation	2 Emails	
06.07.2020	See Above	Larry Cimino	Indiana Council on World Affairs	3 Emails	

06.08.2020	See Above	Larry Cimino	Indiana Council on World Affairs	Email
06.09.2020	See Above	Larry Cimino	Indiana Council on World Affairs	Email
06.10.2020	See Above	Larry Cimino	Indiana Council on World Affairs	2 Emails
06.10.2020	See above	Adam Burtner	Indy Chamber	In Person
06.11.2020	See above	Luke Bosso	Chief of Staff, Indiana Economic Corporation	Email
06.11.2020	See above	Omar Mohammen Almusawa	Saudi Student Association, IUPUI	Email
06.11.2020	See above	Nejoud Saeed Alquahtani	Saudi Student Association, University of Southern Indiana	Email
06.15.2020	See above	Luke Bosso	Chief of Staff, Indiana Economic Corporation	Text
06.15.2020	See above	Omar Mohammen Almusawa	Saudi Student Association, IUPUI	3 Emails
06.15.2020	See Above	Larry Cimino	Indiana Council on World Affairs	3 Emails
06.16.2020	See Above	Larry Cimino	Indiana Council on World Affairs	6 Emails
06.16.2020	See above	Omar Mohammen Almusawa	Saudi Student Association, IUPUI	2 Emails
06.17.2020	See Above	Larry Cimino	Indiana Council on World Affairs	Call
06.17.2020	See Above	Larry Cimino	Indiana Council on World Affairs	6 Emails
06.18.2020	See Above	Larry Cimino	Indiana Council on World Affairs	11 Emails
06.18.2020	See above	Omar Mohammen Almusawa	Saudi Student Association, IUPUI	Call

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06.18.2020	See above	Nejoud Saeed Alquahtani	Saudi Student Association, University of Southern Indiana	Email
06.21.2020	See Above	Larry Cimino	Indiana Council on World Affairs	Email

# State Outreach Reporting Document 01.06.2020 – 01.10.2020

State: Indiana Date: 1/7/2020

Name/Organization: Martin Baier, President and CEO, The International Center

Contact Info:

KSA Pillar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

- Provide Link
- Submission Deadline
- Willingness to take content:

# Participation:

- Host an event
- LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

Comments: Anne Hathaway and Tim Phelps connected with Martin Baier while attending the annual Indy Chamber legislative reception. We briefly discussed the project and our hope to involve the International Center in future outreach. Martin was very receptive to being helpful.

Follow Up: We will continue to keep in touch.

State: Indiana Date: 1/8/2020

Name/Organization: Trevor Foughty, Associate Vice President for State Relations,

Indiana University

Contact Info:

KSA Pillar: Economic Partnership

Potential Event: N/A Organization Newsletter:

- Provide Link
- Submission Deadline
- Willingness to take content:

#### Participation:

- Host an event
- · LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

Comments: Tim Phelps had a brief meeting with Trevor to discuss the project and our interest in making connections within Indiana University and to find out more about the university's Saudi partnerships and Saudi student groups.

Follow Up: We agreed to keep in touch and he would figure out who the right person to put us in contact would be.

# State Outreach Reporting Document 01.10.2020 - 01.16.2020

State: Indiana Date: 1/14/2020

Name/Organization: Caryl Auslander, One Zone Chamber of Commerce

Contact Info:

KSA Pillar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

Provide Link

Submission Deadline

Willingness to take content:

# Participation:

Host an event

- LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

Comments: Caryl Auslander represents One Zone Chamber of Commerce, the primary business organization for Hamilton County, Indiana. Tim Phelps had a short discussion with Auslander about the project.

Follow Up: We will continue to keep in touch.

State: Indiana Date: 1/16/2020

Name/Organization: Roger Howard, International Trade Coordinator, Indiana

**Economic Development Corporation** 

Contact Info:

KSA Pillar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

- Provide Link
- Submission Deadline
- Willingness to take content:

#### Participation:

- Host an event
- LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

Comments: Reached out to obtain information about Saudi business ties or deals with between the state and kingdom.

Follow Up: Will share once we get information.

# State Outreach Reporting Document 01.17.2020 – 01.24.2020

State: Indiana Date: 1/17/2020

Name/Organization: Doris Ann Sadler, World Trade Center of Indiana

Contact Info:

KSA Pillar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

Provide Link

Submission Deadline

Willingness to take content:

#### Participation:

- Host an event
- · LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

**Comments:** Doris Ann Sadler operates the World Trade Center of Indiana and we briefly discussed the project. She is interested in learning more and collaborating in the future.

Follow Up: We will continue to keep in touch.

State: Indiana Date: 1/21/2020

Name/Organization: Steve Howell, Public Affairs Director, Indiana Corn Marketing

Council and Indiana Soybean Alliance

Contact Info:

KSA Pillar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

- Provide Link
- Submission Deadline
- Willingness to take content:

#### Participation:

- Host an event
- · LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

Comments: Steve Howell runs public and government affairs at the joint Indiana Corn and Indiana Soybean groups. We discussed the project and agreed to keep in touch. Follow Up: Will share once we get information.

# State Outreach Reporting Document 02.03.2020 – 02.10.2020

State: Indiana Date: 2/6/2020

Name/Organization: Brian Healy, U.S. Grains Council

Contact Info:

KSA Pillar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

Provide Link

Submission Deadline

Willingness to take content:

# Participation:

Host an event

- LTE, Op-Ed
- Newsletter coverage:

Participate in forum

Comments: Brian Healy is the Director of Global Ethanol Market Development for the U.S. Grains Council. We briefly discussed the project – he mentioned that the U.S. Grains Council has recently been working with the Kingdom of Saudi Arabia.

Follow Up: We will continue to keep in touch.

State: Indiana Date: 2/6/2020

Name/Organization: Helena Jette, Indiana Corn Marketing Council

Contact Info:

KSA Pillar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

- Provide Link
- Submission Deadline
- Willingness to take content:

#### Participation:

- Host an event
- LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

Comments: Helena and I discussed the project and the potential for new export markets for Indiana grain and biofuels.

Follow Up: Will continue to keep in touch.

State: Indiana Date: 2/10/2020

Name/Organization: Ashley Eason, The International Center

Contact Info:

KSA Pillar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

- Provide Link
- Submission Deadline
- Willingness to take content:

#### Participation:

- Host an event
- LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

Comments: Shared the Chicago Tribune Op-Ed with Ashley at the International Center and recommended that they share it within their channels.

Follow Up: Will continue to keep in touch.

State: Indiana Date: 2/10/2020

Name/Organization: John Clark, The Center for Interfaith Cooperation

Contact Info:

KSA Pillar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

- Provide Link
- Submission Deadline
- Willingness to take content;

#### Participation:

- Host an event
- · LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

Comments: Shared the Chicago Tribune Op-Ed with John, who serves as Communications Director for the Center for Interfaith Cooperation and recommended that they share it within their channels.

Follow Up: Will continue to keep in touch.

# State Outreach Reporting Document 02.11.2020 - 02.17.2020

State: Indiana
Date: 2/12/2020

Name/Organization: Anya McConnell, Franklin Chamber of Commerce

Contact Info:

KSA Pillar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

Provide Link

Submission Deadline

Willingness to take content:

#### Participation:

- Host an event
- LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

Comments: Anya McConnell is the deputy director of the Franklin Chamber of Commerce and a former district staffer for U.S. Rep. Trey Hollingsworth. We discussed the project and agreed to keep in touch.

Follow Up: We will continue to keep in touch.

State: Indiana
Date: 2/13/2020

Name/Organization: Ashley Eason, The International Center

Contact Info:

KSA Pillar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

- Provide Link
- Submission Deadline
- Willingness to take content:

# Participation:

- Host an event
- LTE, Op-Ed
- Newsletter coverage:
- Participate In forum

**Comments:** Followed up with Ashley at the Indiana Latino Institute Breakfast regarding the Chicago Tribune Op-Ed and asked if they would be willing to share on social channels. She is running it through their communications shop.

Follow Up: Will continue to keep in touch.

State: Indiana Date: 2/14/2020

Name/Organization: John Clark, The Center for Interfaith Cooperation

Contact Info:

KSA Pillar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

- Provide Link
- Submission Deadline
- Willingness to take content:

# Participation:

- Host an event
- LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

**Comments:** Followed up with regard to the Chicago Tribune Op-Ed to see if they would be willing to share in their newsletter.

Follow Up: Will continue to keep in touch.

# State Outreach Reporting Document 02.17.2020 – 02.24.2020

State: Indiana Date: 2/21/2020

Name/Organization: Greg Zoeller, Former Indiana Attorney General

Contact Info:

KSA Pillar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

Provide Link

Submission Deadline

Willingness to take content:

# Participation:

- Host an event
- LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

Comments: Zoeller served as Attorney General from 2009 – 2019. Zoeller is travelling to Riyadh in March and wants to connect about his work with the National Association of Attorneys General. Zoeller also does work with the Indiana World Trade Center. Follow Up: We will continue to keep in touch.

State: Indiana Date: 2/18/2020

Name/Organization: Keira Amstutz, Program Committee Chair, Indiana Economic Club

Contact Info:

KSA Pillar: Economic Parinership

Potential Event: N/A
Organization Newsletter:

- Provide Link
- Submission Deadline
- Willingness to take content:

#### Participation:

- Host an event
- LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

Comments: Amstutz serves as Chairman of the Programming Committee for the Indiana Economic Club, which hosts a series of high-value speakers each year in Indianapolis. We recommended HRH to be invited to give a speech during the 2020 – 2021 season which kicks off in the fall.

Follow Up: Will continue to keep in touch.

# State Outreach Reporting Document 02.24.2020 – 03.01.2020

State: Indiana Date: 2/29/2020

Name/Organization: Holli Sullivan, State Representative

Contact Info:

KSA Pillar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

Provide Link

Submission Deadline

Willingness to take content:

#### Participation:

- Host an event
- LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

Comments: Holli Sullivan is a State Representative from Southern Indiana who also serves as the Vice Chair of the Indiana Republican Party. Anne Hathaway and Holli discussed the project and the progress that the Kingdom has made for women. Follow Up: We will continue to keep in touch.

State: Indiana Date: 2/29/2020

Name/Organization: Rudy Yakym, Director of Growth Initiatives, Kem Krest

Contact Info:

KSA Pillar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

- Provide Link
- Submission Deadline
- Willingness to take content:

#### Participation:

- Host an event
- LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

**Comments:** Rudy Yakym is a business and political leader from the South Bend region of Indiana and a close advisor to Congresswoman Jackie Walorski, and others. Our team discussed with him the Saudi Arabia project.

Follow Up: Will continue to keep in touch.

State: Indiana Date: 2/26/2020

Name/Organization: Lane Carr

Contact Info:

KSA Pillar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

- Provide Link
- Submission Deadline
- Willingness to take content:

# Participation:

- Host an event
- LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

Comments: Carr is a defense industry consultant who is working on growing the state's defense industry. We discussed the project, especially in the context of Saudi Arabia attending the Defense Summit earlier in the month. Her husband is Maj. Gen. Courtney Carr, the former Adjutant General of the Indiana National Guard.

# State Outreach Reporting Document 03.02.2020 – 03.06.2020

State: Indiana Date: 3/4/2020

Name/Organization: Matt Light, Deputy Mayor, City of Noblesville

Contact Info:

KSA Pillar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

Provide Link

Submission Deadline

Willingness to take content:

#### Participation:

Host an event

- LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

Comments: Matt Light is the deputy Mayor of the City of Noblesville and a former top staffer in the Indiana Department of Attorney General. We discussed Noblesville's efforts to attract international business and also the city's sister city program.

Follow Up: We will continue to keep in touch.

State: Indiana Date: 3/5/2020

Name/Organization: Abdul Hakim-Shabazz

Contact Info:

KSA Pillar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

- Provide Link
- Submission Deadline
- Willingness to take content:

#### Participation:

- Host an event
- LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

Comments: Abdul Hakim-Shabazz is a prominent reporter and political commentator who typically leans to the right. He operates his own media company that includes several newsletters and a radio show. We discussed the possibility of having Del Roosevelt on his show. He agreed to do it after the legislative session.

Follow Up: Will continue to keep in touch.

# State Outreach Reporting Document 03.07.2020 – 03.13.2020

State: Indiana
Date: 3/10/2020

Name/Organization: Greg Zoeller, former Attorney General, State of Indiana

Contact Info:

KSA Pillar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

Provide Link

Submission Deadline

Willingness to take content:

#### Participation:

Host an event

- LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

Comments: Greg Zoeller is scheduled to participate in a delegation from the National Association of Attorneys General at the end of March for one week. It is unclear right now whether or not this trip has been cancelled but it will presumably be put on hold. Zoeller is a paid consultant on a risk assessment program with NAAG. Zoeller also reported that there are several Saudi students at the Indiana University McKinney School of Law where he is a faculty member, including one member of the royal family. Follow Up: We will continue to keep in touch.

State: Indiana

Date: 3/12/2020 and 3/13/2020

Name/Organization: Abdul Hakim-Shabazz

Contact Info:

KSA Pillar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

- Provide Link
- Submission Deadline
- Willingness to take content:

#### Participation:

- Host an event
- LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

**Comments:** Our team followed up with Abdul to get the Del Roosevelt interview on the schedule.

Follow Up: Will continue to keep in touch.

State: Indiana
Date: 3/12/2020

Name/Organization: Doris Ann Sadler, Indiana World Trade Center

Contact Info:

KSA Pillar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

- Provide Link
- Submission Deadline
- Willingness to take content:

#### Participation:

- Host an event
- LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

Comments: Doris Ann Sadler contacted out ream with regard to a rumored IndyCar race that was under negotiations to take place in Saudi Arabia. Her understanding was that the negotiations had slowed and that there were other middle eastern countries interested in the race.

Follow Up: Will continue to keep in touch.

# State Outreach Reporting Document 03.17.2020 – 03.22.2020

State: Indiana Date: 3/18/2020

Name/Organization: Julie Griffith, Indiana Innovation Institute

KSA Piliar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

- Provide Link
- Submission Deadline
- Willingness to take content:

#### Participation:

- Host an event
- LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

Comments: The Indiana Innovation Institute is an organization primarily devoted to advancing Indiana's defense sector and works to bring together government, the private sector and academia. The organization is now planning the "Midwest Defense Innovation Summit", scheduled for October 2020. This could be an opportunity for KSA participation.

Follow Up: We will continue to keep in touch.

# State Outreach Reporting Document 04.25.2020 – 04.30.2020

State: Indiana Date: 4/29/2020

Name/Organization: Larry Cimino, Indiana Council on World Affairs

KSA Pillar: Economic Partnership

Potential Event: N/A Organization Newsletter:

Provide Link

Submission Deadline

Willingness to take content:

#### Participation:

Host an event

LTE, Op-Ed

Newsletter coverage:

Participate In forum

**Comments:** Reached out about current and potential programming opportunities that may involve the Embassy, particularly in light of the stay-at-home order.

Follow Up: We will continue to keep in touch.

State: Indiana Date: 4/29/2020

Name/Organization: Ashley Eason, The International Center

KSA Pillar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

Provide Link

Submission Deadline

Willingness to take content:

# Participation:

- Host an event
- · LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

Comments: Reached out about current and potential programming opportunities that may involve the Embassy, particularly in light of the stay-at-home order.

Follow Up: We will continue to keep in touch.

# State Outreach Reporting Document 05.01.2020 – 05.07.2020

State: Indiana
Date: 05/06/2020

Name/Organization: Larry Cimino, Indiana Council on World Affairs

KSA Pillar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

Provide Link

Submission Deadline

Willingness to take content:

#### Participation:

- Host an event
- · LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

Comments: The Indiana Council on World Affairs has been producing programming for members during the current pandemic and they are very interested in having a representative from the Embassy to do a presentation on the ceasefire in Yemen as well as human rights advancements in the kingdom. They are awaiting confirmation from a speaker on May 21, 2020. If that speaker does not confirm that date may be available. If not, they are interested in dates in June.

Follow Up: We will continue to keep in touch.

State: Indiana
Date: 05/06/2020

Name/Organization: Ashley Eason, The International Center

KSA Pillar: Economic Partnership

Potential Event: N/A
Organization Newsletter:

- Provide Link
- Submission Deadline
- Willingness to take content:

#### Participation:

- Host an event
- LTE, Op-Ed
- Newsletter coverage:
- Participate in forum

**Comments:** The International Center is interested in a presentation from the Embassy. She is confirming with their CEO and will report back.

Follow Up: We will continue to keep in touch.



National Field Report June 4, 2020

# Relationship Building and Engagement

Indiana

Name/Organization: Larry Cimino, Indiana Council on World Affairs

Notes on Outreach: Cooresponded with regard to the distinguished speakers series

and shared the Yemen email/information.

Follow Up: We will continue to keep in touch.

Name/Organization: Ashley Eason, The International Center

Notes on Outreach: Shared the email and information on the Yemen humanitarian

aid.

Follow Up: We will continue to keep in touch.

Name/Organization: Mariam Nasraddin, Saudi Student
Notes on Outreach: Mariam is a student at Indiana Tech that was quoted in a recent
article about international students in Indiana during the COVID pandemic. We
reached out via twitter to see if she may be interested in getting more involved.
Follow Up: We will continue to keep in touch.

Yemen Media Monitoring

No recent articles

OPEC+ Media Monitoring
No recent OPEC or oil price related stories

Saudi Arabia Media Monitoring
No recent articles

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National Field Report June 4, 2020

# Relationship Building and Engagement

Indiana

Name/Organization: Larry Cimino, Indiana Council on World Affairs

Notes on Outreach: Cooresponded with regard to the distinguished speakers series

and shared the Yemen email/information.

Follow Up: We will continue to keep in touch.

Name/Organization: Ashley Eason, The International Center

Notes on Outreach: Shared the email and information on the Yemen humanitarian

aid.

Follow Up: We will continue to keep in touch.

Name/Organization: Mariam Nasraddin, Saudi Student
Notes on Outreach: Mariam is a student at Indiana Tech that was quoted in a recent
article about international students in Indiana during the COVID pandemic. We
reached out via twitter to see if she may be interested in getting more involved.
Follow Up: We will continue to keep in touch.

Yemen Media Monitoring
No recent articles

OPEC+ Media Monitoring
No recent OPEC or oil price related stories

Saudi Arabia Media Monitoring
No recent articles

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# State Outreach and Media Reporting Document 6.5.2020 – 6.11.2020

# Indiana

Name/Organization: Adam Burtner, Indy Chamber

Notes on Outreach: Our team reached out to Adam last week because the Chamber has been producing a series of online panels and meetings on a variety of topics. After asking whether or not they had planned to do anything with an international perspective, Adam remarked that it was under consideration and that they would keep us posted for future opportunities. There could be a panel opportunity at some point. Follow Up: We will continue to keep in touch.

Attachments: None.

Name/Organization: Omar Mohammen Almusawa, Saudi Student Association at IUPUI Notes on Outreach: Our team reached out to Omar, who was listed as the president of the student organization in order to share the invite to the ICWA event and to find ways for future collaboration.

Follow Up: We will continue to keep in touch.

Attachments: None.

Name/Organization: Nejoud Saeed Alquahtani, Saudi Student Association at the University of Southern Indiana

**Notes on Outreach:** Our team reached out to Nejoud, who was listed as the president of the student organization in order to share the invite to the ICWA event and to find ways for future collaboration.

Follow Up: We will continue to keep in touch.

Attachments: None

Weapons Trade Media Monitoring

No coverage to report

**Broadcast Media Monitoring** 

No coverage to report

Social Media Monitoring - no coverage to report

Yemen Media Monitoring

No coverage to report

Received by NSD/FARA Registration Unit 07/23/2020 12:14:44 PM

Broadcast Media Monitoring - no coverage to report

Social Media Monitoring - no coverage to report

OPEC+ Media Monitoring
No coverage to report

**Broadcast Media Monitoring** 

No coverage to report

# State Outreach and Media Reporting Document 6.12.2020 ~ 6.18.2020

#### Indiana

Name/Organization: Omar Mohammen Almusawa, Saudi Student Association at IUPUI Notes on Outreach: Our team had a call with Omar to discuss the project. He was very happy to hear that the Embassy had taken an interest in Indiana and was very eager to help out. According to Omar, who is an engineering student at IUPUI, there are approximately 350 Saudi students at IUPUI and another 300 at the University of Indianapolis. We discussed the possibility of getting a speaker from the embassy to participate in an event, either live or virtual.

Follow Up: We will continue to keep in touch.

Attachments: None.

Name/Organization: Nejoud Saeed Alquahtani, Saudi Student Association at the University of Southern Indiana

**Notes on Outreach:** Our team followed up with Nejoud in order to schedule a call to discuss the project.

Follow Up: We will continue to keep in touch.

Attachments: None.

Name/Organization: Luke Bosso, Chief of Staff, Indiana Economic Development Corporation

**Notes on Outreach:** We reached out to make sure he was aware of the event with the Ambassador and to request a welcome letter from the Governor. The governor was not able to do a letter but officials from the IEDC are planning on being on the Zoom conference.

Follow Up: We will continue to keep in touch.

Attachments: None.

# **Weapons Trade Media Monitoring**

No coverage to report

**Broadcast Media Monitoring** 

No coverage to report

Social Media Monitoring - no coverage to report

Yemen Media Monitoring

Senators Ask Pompeo to Boost U.S. Support for Yemen Peace Effort

Outlet:

Bloomberg Wire

Date: Author: June 16, 2020 Nick Wadhams

Link:

https://www.bnnbloomberg.ca/senators-ask-pompeo-to-boost-u-s-support-for-

vemen-peace-effort-1 1451519

Excerpt:

"Your interventions were important to securing past interim agreements,"

the group, which includes Connecticut Democrat Chris Murphy and Indiana Republican Todd Young, said in a letter Tuesday. "We now believe that sustained, high-level U.S. engagement is necessary to finally

put an end to this devastating civil war."

Broadcast Media Monitoring - no coverage to report

Social Media Monitoring - no coverage to report

OPEC+ Media Monitoring
No coverage to report

**Broadcast Media Monitoring** 

No coverage to report

# 14(a). Supplemental Payments Report

All Payments Received From: LS2group 510 E. Locust Street #200 Des Moines, IA 50309

•	1/13/2020	\$10,000
•	1/17/2020	\$3,000
•	2/28/2020	\$10,000
•	3/31/2020	\$10,000
•	4/15/2020	\$10,000

15 (c). Supplemental Contribution Report

nut	00	00	5.00	0.00	00.0		00	0	0	00.0	00	0	00.0	0	00.0	00.0	00.0	00.0	
Amount	\$250.00	\$250.00	\$3,146.00	\$1,000.00	\$1,250.00		\$500.00	\$50.00	\$50.00	\$4,000.00	\$100.00	\$50.00	\$4,000.00	\$50.00	\$1,000.00	\$4,000.00	\$1,000.00	\$1,000.00	
Method	Check	Check	Check	Check	Check		Check	Check	Check	In-kind	Check	Check	In-kind	Check	Check	Check	Check	Check	
Political Organization/Candidate	Marion County Republican Central Committee	Greater Indianapolis Republican Finance Committee	IN Republican State Committee	Excellence in Public Service	IN Business for Responsive Government	PAC	Washington Township GOP	Johnson County GOP	Friends of Bj Farrell	Nate Harter for Attorney General	Friends of Keely Stingle	IN COC/Business Advocacy PAC	Nate Harter for Attorney General	IN GOP	Jensen for Noblesville	IN Republican State Committee	Maggie's List	Victoria Spartz for Congress	
Donor	Hathaway Strategies, LLC	HS, LLC	HS, LLC	HS, LLC	HS, LLC		HS, LLC	Anne F. Hathaway	Anne F. Hathaway	HS, LLC	Anne F. Hathaway	HS, LLC	HS, LLC	Tim Phelps	HS, LLC	HS, LLC	Anne F. Hathaway	Anne F. Hathaway	
Date	1/13/2020	1/13/2020	1/22/2020	1/27/2020	2/6/2020		2/20/2020	2/20/2020	3/20/2020	4/30/2020	5/6/2020	5/20/2020	5/30/2020	6/8/2020	6/10/2020	6/10/2020	6/17/2020	6/17/2020	